

PERSONAL DATA QUESTIONNAIRE

1. State your full name: **Anne Elinor Lazarus**
Have you ever been known by any other name? If so, please list.
Anne E. Lazarus; Anne E. Rockhill
2. Office address (include zip code):
1700 Market Street, Suite 1440, Philadelphia, PA 19103
Office telephone (include area code): **215-560-6301**
Name of law firm, if associated:
Not Applicable - Member of the Bench of the Superior Court
3. Date of place of birth: **November 12, 1952 - Brooklyn, New York**
4. Are you a naturalized citizen? If so, give date and place of naturalization:
No.
5. Family status:
 - a) Are you married? If so, state the date of marriage and your spouse's full name, including maiden name, if applicable:
May 8, 1977; Mitchell H. Klevan
 - b) Have you been divorced? If so, state the date, the number of the case and the court:
**Yes. Philadelphia Court of Common Pleas, Family Division
May Term 1976 No. 5576**
6. Have you had any military service? If so, **No.**
 - a) Give dates, branch of service, rank of rate, and present status: **Not Applicable**
 - b) Have you ever been rejected or released from any of the armed services for reasons other than honorable? If so, give details: **No.**

7. List each college and law school you attended, including dates of attendance, and the degrees awarded and, if you left any institution without receiving a degree, the reason for leaving. List scholastics honors:

<u>College</u>	<u>Dates</u>	<u>Degree</u>
SUNY at Stony Brook	9/69 - 12/72	B.A.
Temple University School of Law	9/73 - 5/76	J.D.
Temple University School of Law	9/83 - 5/86	LL.M. in Taxation

8. List all jurisdictions in which you have applied to take a bar examination, have applied for admission or have been admitted to practice. Include dates of admissions and if not admitted, give application dates and explain the outcome.

<u>Court or Administrative Body</u>	<u>Dates of Admission</u>
Pennsylvania Supreme Court	1977
United States District Court	1977

9. Describe chronologically your law practice and experience after your graduation from law school and until you became a member of the judiciary, including:

- a) Whether you served as clerk to a judge and, if so, the name of the judge, the Court, and the dates of the period you were a clerk:

1976-1980 - Judge George Ivins - Common Pleas Court - Criminal
1980-1990 - Judge Edmund S. Pawelec - Orphans' Court

- b) Whether you practiced alone and, if so, the address and the dates:

Private practice - solo - 1980-1990

- c) The dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been connected and the nature of your connection with each: **Ballard Spahr - 1990-1991**

- d) Any other relevant particulars:

- 1) After 1986, I became Counsel to the Orphans' Court. This coincided with my receiving my Master of Law degree.**
- 2) Ascended to the Court of Common Pleas, 1st Judicial District appointed December 1991,**
- 3) Ascended to the Superior Court of Pennsylvania January, 2010**

10. a) What was the general character of your practice, dividing it into periods with dates if its character changed over the years?

General, with emphasis on Family Law and Estate Planning

- b) Describe your typical clients and mention the areas, if any, in which you concentrated your practice:

Business people who needed help in family law and/or estate planning; generally referred by judges in court.

11. What judicial office do you now hold? State whether you have been appointed or elected and the dates thereto. Describe the jurisdiction of such court and any limitations thereon. Have you ever held any other judicial office? If so, please state the offices, courts and the periods of such service. Please describe the jurisdiction of each of such courts, with any limitations upon the jurisdiction of each court:

- 1) **I am now a member of the Superior Court of Pennsylvania. I was elected in November 2009 for a ten year term which began in January 2010.**
- 2) **Judge, Court of Common Pleas - Appointed in Dec, 1991; Elected to ten year term as Common Pleas Judge in November 1991; retained for additional ten year term in November 2001 (2012).**

12. For the last five years or portions thereof during which you have held judicial office, indicate,

- a) The nature of typical matters which came before you:

Civil, Criminal, Family and Orphans' Court. The Superior Court is the intermediate appellate court which has jurisdiction over all cases other than death penalty homicides.

- b) The percentage of your time devoted to:

- 1) **Civil: 30%**
- 2) **Criminal: 40%**
- 3) **Other: Family law 25% and Orphans' Court 5%**

- c) The percentage of your time devoted to appellate law:

100% of my time is devoted to appellate law including, but not limited to, hearing actual argument in court, reviewing submitted argument, and researching and writing memoranda and/or opinions.

- d) Five of the more significant opinions you have rendered and citations thereto if the cases were reported:

See attached Appendix 1

13. If a substantial portion of your practice had been administrative boards, commissions or agencies during the five years before you became a member of the judiciary, identify the boards, commissions or agencies before which you practiced and estimate percentages of practice time devoted thereto:

Not Applicable

14. If a substantial portion of your practice had been before Appellate Courts during the five years before you became a member of the judiciary, identify the Appellate Courts before which you have practiced and estimate percentages of practice time devoted thereto:

Not Applicable

15. Have you ever held office other than judicial office? If so, give details, including the office involved, whether elected or appointed, and the length of your services, giving dates:

No.

16. Have you ever been an unsuccessful candidate for elective, judicial, or other public office? If so, give details, including dates:

Yes, I ran in the 2007 judicial election for Superior Court and lost in the primary by ½ of 1% of the vote statewide.

17. Have you ever been engaged in any occupation, business or profession other than the practice of law or the holding of judicial or other public office? If so, give details, including dates:

No.

18. Are you now an officer or director or otherwise engaged in the management of any business enterprise? If so, give details, including the name of your enterprise, the nature of the business, the title or other description of your position, the nature of your duties and the term of your service.

No.

19. Have you ever been arrested, charged with or convicted of violating any federal law or regulation, state law or regulation, or county or municipal law, regulation or ordinance? If so, give details. Do not include summary traffic offenses:

No.

20. Are you under any ongoing federal, state or local investigation or indictment for possible violation of a criminal statute? If so, give particulars:

No.

21. Has a tax lien or other collection procedure been instituted against you by federal, state or local authorities? If so, give particulars:

No.

22. If requested, will you submit certified copies of your latest tax returns (including Federal Income Tax)?

Yes.

23. Prior to taking the bench, were you ever sued by a client? If so, give particulars:

No.

24. Have you ever been a party or otherwise involved in any other legal proceedings? If so, give the particulars. Do not list proceedings in which you were merely a guardian ad litem or stakeholder. Include all legal proceedings in which you were a party in interest, a material witness, were named as co-conspirator or a co-respondent and any grand jury investigation in which you figured as a subject or in which you appeared as a witness:

While a CP judge, a defendant, Gennaro Rauso, sued me in Federal Court in an attempt to have me recuse myself from his PCRA. The suit was dismissed on preliminary objections. Further details are available upon request.

25. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct or has any complaint against you been filed with the Court of Judicial Discipline? If so, give the particulars:

No.

26. What is the present state of your health? (if any medical condition or issues exists, you may be requested to execute a medical record authorization form as part of the interview process.)

Excellent.

27. Do any circumstances exist that would affect your ability to perform the function of the position you seek or fulfill the term of office? If so, please explain:

No.

28. Furnish at least five examples of legal articles, law review articles, briefs, or other legal writings which reflect your personal work. If briefs are submitted, indicate the degree to which they represent your personal work:

I have already submitted seven (7) legal opinions which I wrote. I am pleased to also include four (4) legal articles which have been published:

- (a) Protecting Clients in the Aftermath of Cruzan-Elderly Citizens' Resource Center, Winter 1991, Vol. 6, No. 1**
- (b) Capacity or Incapacity - That is the Question, The Philadelphia Lawyer, Vol. 55, No. 3 (1992)**
- (c) Treatment Decisions for the Mentally Ill and Mentally Impaired: An Imperfect Solution, Political and Civil Rights Law Review - Temple University, Beasley School of Law, Vol. 12, Spring 2003**
- (d) What Judges can do to Enhance the Culture of Public Service, The Philadelphia Lawyer, Vol. 69, No. 2, Summer 2006.**

Copies of the above are attached as Appendix 2

29. List all bar associations and professional societies of which you are a member and give the titles and dates of any offices which you have held in such groups. List chairmanships of any committees in bar associations and professional societies and memberships on any committees which you believe to be of particular significance (e.g., judicial selection committee, disciplinary committee, grievance committee).

- (a) Member, Governor's Commission on Crime and Delinquency, 1996**

- (b) **President, Prisoner's Family Welfare Association, 1995-2002**
- (c) **Chancellor/President, Brandeis Law Society, Dec. 2003 - Dec. 2005**
- (d) **President, Temple American Inn of Court, June 2004 - June 2005**
- (e) **Chair, State Conference of Trial Judges Ethics Committee, July 2004 - 2010**
- (f) **Co-Chair, State Conference of Trial Judges Orphans' Court Committee, 2007 - 2010**
- (g) **Member, Supreme Court Orphans' Court Rules Committee, 2007 - 2010**
- (h) **Member, PBA House of Delegates, 2006- present**
- (i) **Member and current Chair, Judicial Conduct Board, 2011- Present**
- (j) **Appointed Chair of Ad Hoc Supreme Court Committee to draft a new Model Code of Judicial Canons, 2012**

30. List your experiences in teaching and lecturing, including law school, continuing legal education, both for the legal community and the judiciary, and to non-legal audiences.

"Mental Health and Aging" - PBI No. 2004-2005
11th Annual Estate Law Institute - PBI No. 2004 - 3355 Vol. 1
"Personal Injury Potpourri" The Dispute Resolution Center - April 13, 2004
"Orphans' Court for Plaintiff's Lawyers" - Philadelphia Trial Lawyers Association, 2004 to present
"What Happens When all of Your Planning Doesn't Work?" - The Philadelphia Estate Planning Council - May 6, 2002
"Drafting Fundamental Estate Planning Documents" - PBI No. 1996 - 1127
"The Best Cross Examination Ever, Your Next" - PBI 2008
Adjunct Professor, Beasley School of Law, Temple University, 2012- present
- course title: Orphans' Court Practice and Procedures.
Frequent lecturer for PBI on Appellate Practice, 2010 – present
Frequent lecturer on Canons of Judicial Conduct, 2011 – present

See Appendix 3

31. List all organizations other than bar associations, professional associations or societies of which you are or have been a member, including civic, charitable, religious, educational, social and fraternal organizations:

Vice President, Temple Beth Hillel-Beth El, Wynnwood, PA - 1986
Board Member, Temple Beth Hillel-Beth El, Wynnwood, PA - 1983-2000
Trustee, Temple Beth Hillel-Beth El Endowment Fund - 1985-2000
Vice President and House Chair, Germantown Cricket Club, Philadelphia - 2002 - 2006
Board Member, Philadelphia VIP - 2000 - Present
Co-President Gershman Y - 2013 - Present

32. List any honors, prizes, awards or other recognition which you have received (including any indication of academic distinction in college of law school) other than those mentioned in answers to the foregoing questions.

**Special Award of Appreciation from Germantown Cricket Club, 2006
PBA Legal Services for Public Committee, Judges Award (1st time given)
PLAN of PA - Bernard White Community Service Award (1st time given)
Louis D. Brandeis Law Society - Benjamin F. Levy Community Service
Award, 2008
Philadelphia Bar Association's Sandra Day O'Connor Award, 2013**

33. State any other information which may reflect positively or adversely on you or which you believe should be disclosed in connection with consideration of you for an election/retention to an Appellate Court of Pennsylvania.

While on the Court of Common Pleas, I served in all divisions of the Court, except Family Division. I was the Coordinating Judge of Major and Criminal trials from 1997-2000. I presided over civil complex cases from 1994-1997. I was the first women judge to handle the "Rocket Docket" in Philadelphia. I became a member of the State Conference of Trial Judges Ethics Committee in 1995, becoming its Chair in 2002. In 2012 I was appointed by the Chief Justice to head a committee to review and amend our Canons of Judicial Conduct. I currently Chair the Pennsylvania Judicial Conduct Board. I have established expertise in judicial conduct which I hope to bring to the Supreme Court.

34. Why do you wish to become a Justice or Judge? What special strengths do you feel you possess that would particularly well qualify you for the position of Justice or Judge?

I have proudly served the Commonwealth of Pennsylvania as a jurist, since November of 1991. Since 2010, when I ascended to the Superior Court, I have developed and honed the skills necessary to review the opinions of the judges of the Courts of Common Pleas and have written over 1300 opinions and memoranda based upon the law. The Superior Court, as I'm sure you already know, is an error correcting court and the opportunity rarely exists to create policy. One of the primary reasons to run for a position on the Supreme Court is to create a body of law which is both unified and consistent, which I believe is of paramount importance in Pennsylvania. My talent for consensus building will be valuable on the Court.

During my campaign travels across the state (to 62 of 67 counties in 2007 and again in 2009) I saw firsthand that litigants and citizens of our Commonwealth want a fair, impartial and transparent judiciary. This is especially necessary in light of what has befallen our Supreme Court recently. Not only will I bring an excellent work ethic to the Supreme Court

bench but I will also bring a twenty year devotion to judicial ethics along with me. I will work especially hard, along with my fellow Justices, to restore public confidence in our Supreme Court.

35. Did you sign the PBA Judicial Campaign Advertising Guidelines? (Please return signed pledge with completed questionnaire.)

Yes.

CERTIFICATION STATEMENT

The undersigned certifies that all of the statements made in this questionnaire are true, complete and correct to the best of his/her knowledge and belief and are made in good faith.

Anne E. LAZARIUS
Type or print name

Anne Lazarus
Signature

17 Nov. 2014
Date.