

PERSONAL DATA QUESTIONNAIRE

1. State your full name: **Alice Beck Dubow**

Have you ever been known by any other name? If so, please list. **Alice Whitman Beck**

2. Office address (include zip code): **City Hall, Room 229, Philadelphia, PA 19107**

Office telephone (include area code): **215-686-2636**

Name of law firm, if associated:

3. Date and place of birth: **March 25, 1959**

4. Are you a naturalized citizen? If so, give date and place of naturalization: **No**

5. Family status:

- a) Are you married? If so, state the date of marriage and your spouse's full name, including maiden name, if applicable: **I am married to Robert Dubow. We were married on December 26, 1982.**

- b) Have you been divorced? If so, state the date, the number of the case and the court: **Not applicable.**

6. Have you had any military service? If so, **Not applicable.**

- a) Give dates, branch of service, rank of rate, and present status:

- b) Have you ever been rejected or released from any of the armed services for reasons other than honorable? If so, give details:

2. List each college and law school you attended, including dates of attendance, and the degrees awarded and, if you left any institution without receiving a degree, the reason for leaving. List scholastic honors:

University of Pennsylvania Law School, Juris Doctor 1981-1984

University of Pennsylvania, Faculty of Arts and Science, Bachelor of Arts cum laude, 1977-1981.

Temple Law School, 1990-1991, for LLM in Taxation. I left after I gave birth to my second child because I could not balance my professional and family obligations while fulfilling the requirements of the LLM program.

1. List all jurisdictions in which you have applied to take a bar examination, have applied for admission or have been admitted to practice. Include dates of admissions and if not admitted, give application dates and explain the outcome.

Pennsylvania, admitted in 1984

New Jersey, admitted in 1985 (I voluntarily resigned from the New Jersey Bar in 2013 because the Bar would not allow me to fulfill my CLE requirements with courses that I completed at judicial conferences and the National Judicial College.)

2. Describe chronologically your law practice and experience after your graduation from law school and until you became a member of the judiciary, including:

- a) Whether you served as clerk to a judge and, if so, the name of the judge, the court, and the dates of the period you were a clerk:

The Honorable Edward Biester, Court of Common Pleas of Bucks County, 1984-1985

- b) Whether you practiced alone and, if so, the addresses and the dates: **see below**

- c) The dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been connected and the nature of your connection with each:

**Duane, Morris & Hecksher, 1985-1986
30 S.17th Street
Philadelphia, PA 19103**

Fineman & Bach, P.C. 1986-1992

**1608 Walnut Street
Philadelphia, PA 19103**

**City Solicitor's Office, 1992-1997
City of Philadelphia
1101 Market Street
Philadelphia, PA 19107**

**Wolf, Block, Schorr & Solis-Cohen, 1997-2000
1650 Arch Street
Philadelphia, PA**

**Drexel University, 2000-2006
Office of General Counsel
3201 Arch Street
Philadelphia, PA 19104**

**Law Office of Alice Beck Dubow, 2006-2007
30 S. 17th Street
Philadelphia, PA 19103**

d) Any other relevant particulars:

10. a) What was the general character of your practice, dividing it into periods with dates if its character changed over the years?

b) Describe your typical clients and mention the areas, if any, in which you concentrated your practice:

After graduating from the University of Pennsylvania Law School and before becoming a judge in the Court of Common Pleas, I practiced law for twenty-three years, developing a wide and diverse experience in many aspects of the law.

In 1984, I had the privilege of clerking for the Honorable Edward G. Biester, Jr., a judge in the Court of Common Pleas of Bucks County, where I not only drafted opinions in criminal and civil matters, but also observed civil and criminal trials in the courtroom, learning from the observations and opinions of Judge Biester.

I then worked at Duane, Morris and Heckscher (1985-1986) and Fineman and Bach, (1986-1992) where I handled small and large commercial litigation matters. I assisted more senior attorneys in complex litigation matters in state and federal court and handled, on my own, less complex cases before arbitration panels. I handled numerous motions at the trial court level and appeals in Superior Court. During this time, I assisted more senior attorneys in two federal district court cases that went to a jury.

After seven years in private practice, I returned to public service and accepted a position in the City Solicitor's Office, where I worked from 1992-1997. There, I initially handled general commercial litigation and then specialized in local tax issues. I used this litigation and tax expertise to handle scores of tax disputes that were informally resolved as part of the business of the City, but also in court, where I tried or settled over 100 local tax matters in the Court of Common Pleas and represented the City and the School District in approximately ten cases in Commonwealth Court. My practice also involved regularly handling numerous matters before the Tax Review Board. All of this required sensitivity to the interests of the City and its agencies, as well as those of the taxpayers and communities in which they worked and lived.

One of my major accomplishments while I worked at the City Solicitor's Office was the implementation of the Payment in Lieu of Taxes Program, more commonly referred to as the PILOT/SILOT Program. This was a unique program that had not been implemented by any other city in the country and was later copied by many jurisdictions. Philadelphia was unique in that many non-profit organizations owned a significant portion of valuable real estate in Philadelphia. These non-profits had been exempt from real estate taxes, but the courts were issuing decisions making it more difficult for the non-profits to maintain their tax exempt status, especially as the non-profits were becoming more sophisticated organizations with more varied commercial activities. I, along with two representatives from the Board of Revision of Taxes and two representatives from the Mayor's Office, negotiated agreements with the major non-profits who owned real estate in Philadelphia to make Payments in Lieu of Taxes totaling \$10,000,000 every year for five years to the City and School District of Philadelphia.

While I was at the City, I also was responsible for developing the Sequestration Program. This, too, was a new and unique way of collecting City revenues – in this case, unpaid Use and Occupancy Tax. The legislature empowered the City to “sequester” rents of landlords that did not collect Use and Occupancy Tax from its tenants and remit the tax to the City of Philadelphia. The program's development required a full appreciation for the very complex relationships between landlord and tenants and the sometimes-legitimate differences in opinion between landlords and the City. Implemented to general acceptance, this program brought in \$4,000,000 a year and still exists today.

Ready to broaden my experiences after six years of public service, I went to work at Wolf, Block, Schorr and Solis-Cohen from 1997-2000. I joined its tax group and under the tutelage of one of the leading experts in state and local tax, I was able to expand my expertise beyond local taxes to include the variety of taxes imposed by the Commonwealth of Pennsylvania. During this time, I also continued my career as an advocate, representing clients before diverse tribunals, including the Court of Common Pleas, the Commonwealth Court, the Tax Review Board, the Board of Finance and Revenue, and the Board of Revision of Taxes.

Having learned much in the State and Local Tax area, I decided to seek as broad an experience as I could. I was very fortunate to be appointed Deputy General Counsel

for Drexel University, where I spent the next six years of my career (2000-2006). Drexel University, is itself a small city having over 15,000 citizens (students), over 3,000 employees (more than 500 of whom are unionized), with a budget over \$500,000,000 and over 100 acres of land for which the University was responsible (and potentially liable). I regularly advised the Board of Trustees, the President of the University, senior administrators, college deans, and faculty on the legal implications of a range of issues: personnel disputes; releasing student educational records; charges of theft (both academic dishonesty and misuse of charitable resources); acquisitions of land; reviewing proposed contracts; overseeing litigation; counseling faculty on how to deal with students; and service as Assistant Secretary of the Board of Trustees.

While performing these responsibilities, I managed an office of four attorneys and professionals and three support staff and also prepared budgets and dealt with administrative matters.

While at Drexel, I handled many legal issues endemic to an institution of higher education. I advised clients on the implications of privacy rights (specifically, the Family Educational Records and Privacy Act, 20 U.S.C. 1232g and the Gramm, Leach Bliley Act, 15 U.S.C. 6801 *et seq*), I drafted or modified University policies on a range of issues affecting the destruction of documents and the maintenance of a respectful workplace (harassment and discrimination). I counseled departments on how to respond to complaints and subpoenas, and represented the University and its faculty when sued.

In particular, I spent a substantial amount of time advising departments on human resource matters and represented Drexel before the Philadelphia Human Relations Commission, the Pennsylvania Commission on Human Relations and the Unemployment Compensation Board. I also represented Drexel in a broad range of commercial contracts and in virtually all real estate, bond and other commercial transactions.

Beyond this service as an attorney, I managed outside counsel in cases that ranged from the termination of an hourly employee to demotion of an Associate Provost to the denial of a Ph.D. degree.

Working in-house, I saw first-hand the cost, disruption and emotion that litigation can bring to workplace relationships and to employers. In appropriate matters, I was able to convince my opposing counsel to agree to mediation, which quickly and effectively resolved claims and, in some instances, repaired ongoing relationships. As a result, I appreciate the benefits of using mediation as an alternative to resolve disputes.

During the time of my service for Drexel, the Sarbanes Oxley Act was made law, and while it did not apply to non-profits, the Drexel Board decided that Drexel should adopt its best practices. Among the best practices that Drexel adopted was providing a system for employees who believe University policies are being violated to have a confidential means to "blow the whistle" and have the complaint

investigated. As part of this important initiative, I was appointed as the University's first Chief Integrity Officer and received complaints through the University's whistleblower hotline. In that role, I investigated and resolved over twenty complaints.

I also provided legal support in a unique program in which Drexel sublicenses software and hosts software and data to smaller colleges and universities in and outside of the United States. This program gave smaller colleges and universities access to more sophisticated software that would be too expensive to use because the small student population could not justify the significant overhead cost. It also provided Drexel with an additional stream of income where the marginal cost of providing the services and software was not great because Drexel was already using the software for its students. I drafted and negotiated not only unique agreements with the smaller colleges and universities, but also complex software license agreements with the software licensors. I then lectured at a national conference on the legal implications of this program.

After six years at Drexel, I left to start my own practice while preparing to run for a judgeship in the Court of Common Pleas. I continued to represent Drexel in real estate and zoning matters and also represented other clients in simple probate matters, landlord tenant disputes, zoning matters, lease negotiations, election law disputes, litigation, and disputes with the City of Philadelphia over taxes. I represented many of my clients on a pro bono basis. Once again, I expanded my expertise to representing individuals on less complex, but equally important, matters.

Finally, I have always made the effort to teach what I know to others, thereby turning private practice into public gain. While working in the City Solicitor's Office and Wolf, Block, I lectured extensively on State and Local Tax issues at Pennsylvania Bar Institute Seminars and I co-authored a BNA Portfolio on Pennsylvania Corporate Tax. While at Drexel, I lectured extensively to various departments at the University on privacy issues, preventing discrimination complaints and other employment law issues.

During the twenty-three years that I have practiced law, I experienced a wide variety of issues, clients, interests, and disputes and developed a sensitivity to a wide variety of perspectives. I also learned the fiduciary duty that a lawyer working for a government or non-profit owes to the public, and to be committed to the public good. I have used this expertise as a judge at the local level and am confident that these experiences have prepared me for Superior Court.

11. What judicial office do you now hold? State whether you have been appointed or elected and the dates thereto. Describe the jurisdiction of such court and any limitations thereon. Have you ever held any other judicial office? If so, please state the offices, courts and the periods of such service. Please describe the jurisdiction of each of such courts, with any limitations upon the jurisdiction of each court:

I was appointed to Court of Common Pleas of Philadelphia in July, 2007 and then in November, 2007, I was elected for a ten year term that began in January, 2008. The Court of Common Pleas is divided into three divisions: Family Division, Trial Division and Orphan's Court Division. I have served in the Family and Trial Divisions.

I have not served on any other court.

12. For the last five years or portions thereof during which you have held judicial office, indicate,

a) The nature of typical matters which came before you:

**Dependency cases (abused and neglected children)-2007-2012
Criminal cases-(felonies) 2012-2014
Civil cases- 2014-present**

b) The percentage of your time devoted to:

1. **Civil: 1 year (100% of my time)**

2. **Criminal: 2 years (100% of my time)**

3. **Dependency: 4.5 years (100% of my time)**

c) The percentage of your time devoted to:

1. **Jury Trials in Civil and Criminal Divisions: 60%**

2. **Non-jury Trials in Civil and Criminal Divisions: 40%**
Non-jury Trials in Dependency Court: 100%

3. **Appellate argument: Not applicable**

4. **Other: Not applicable**

d) Five of the more significant opinions you have rendered and citations thereto if the cases were reported:

I have issued over 140 Opinions. A sample of those opinions from Dependency Court, Criminal Court and Civil Court are as follows:

In Re: D.S. and M.S., D#6122-07-08, 6123-07-08; affirmed, 2009 PA Super 144, 979 A.2d 901

In Re: T.R., a minor, Appeal of Troy Ford, Father, ; CP-51-AP-0000201-2011 (2011); affirmed, No. 1781 EDA 2011 (2012), Memorandum Opinion

Commonwealth of Pennsylvania v. Karlton Johnson, CP-51-CR-011564-2012; affirmed, No. 3148 EDA 2013 (2014), Memorandum Opinion

Commonwealth of Pennsylvania v. Marlaina Thomas, CP-51-CR-8066-2011 (2014); affirmed, No. 3546 EDA 2013 (2014), Memorandum Opinion

Scenic Philadelphia et al v. Philadelphia Zoning Board of Adjustment, May Term, 2013, No. 0034 (2014).

13. If a substantial portion of your practice had been before administrative boards, commissions or agencies during the five years before you became a member of the judiciary, identify the boards, commissions or agencies before which you practiced and estimate percentages of practice time devoted thereto:

During my legal career, I practiced before the Tax Review Board and the Board of Finance and Revenue in tax matters.

14. If a substantial portion of your practice had been before Appellate courts during the five years before you became a member of the judiciary, identify the Appellate Courts before which you have practiced and estimate percentages of practice time devoted thereto:

During my legal career, I wrote briefs for and/or argued approximately 15 cases before Commonwealth Court and Superior Court.

15. Have you ever held public office other than judicial office? If so, give details, including the office involved, whether elected or appointed, and the length of your services, giving dates:

In 1988, I was elected to be a committee person in the 9th Ward of Philadelphia and served for two years.

16. Have you ever been an unsuccessful candidate for elective, judicial, or other public office? If so, give details, including dates:

In 2005, I ran to be a committee person in the 21st Ward in Philadelphia and lost.

17. Have you ever been engaged in any occupation, business or profession other than the practice of law or the holding of judicial or other public office? If so, give details, including dates: **No.**

18. Are you now an officer or director or otherwise engaged in the management of any business enterprise? If so, give details, including the name of your enterprise, the nature of the business, the title or other description of your position, the nature of your duties and the term of your service.

I am a General Partner of the Beck Family Limited Partnership, which is a family partnership whose sole assets are securities and the partnership interests are held by family members. I have been a General Partner since my parents created the partnership in 1997.

19. Have you ever been arrested, charged with or convicted of violating any federal law or regulation, state law or regulation, or county or municipal law, regulation or ordinance? If so, give details. Do not include summary traffic offenses: **No.**
20. Are you under any ongoing federal, state or local investigation or indictment for possible violation of a criminal statute? If so, give particulars: **No.**
21. Has a tax lien or other collection procedure been instituted against you by federal, state or local authorities? If so, give particulars: **No.**
22. If requested, will you submit certified copies of your latest tax returns (including Federal Income Tax)? **Yes.**
23. Prior to taking the bench, were you ever sued by a client? If so, give particulars: **No.**
24. Have you ever been a party or otherwise involved in any other legal proceedings? If so, give the particulars. Do not list proceedings in which you were merely a guardian ad litem or stakeholder. Include all legal proceedings in which you were a party in interest, a material witness, were named as co-conspirator or a co-respondent and any grand jury investigation in which you figured as a subject or in which you appeared as a witness:

In 2010, while I was a judge in Dependency Court, a grandmother, against whom I had ruled, filed suit against me in my capacity as a judge as well as officials at the Philadelphia Department of Human Services, and other individuals, alleging that her grandchildren were subjected to kidnapping and child abuse by virtue of a court order returning the child to the child's father. The District Court granted the Motion to Dismiss on the grounds that the Plaintiff's claims are barred by the domestic relations exception and the Rooker-Feldman doctrine. 2010 US Lexis 79066. The Third Circuit affirmed the dismissal. 2011 US App Lexis 81.

In 1995, my husband and I signed an Agreement of Sale for our home. A few weeks later, an attorney for the Seller called me to tell me that the Seller had changed her mind and was not going ahead with the closing. After settlement negotiations with the Seller fell apart, my husband and I filed a Complaint and Motion for Preliminary Injunction against the Seller to ensure that the Seller complied with

the Agreement of Sale. The trial court granted the Preliminary Injunction and ordered the Seller to sell the house to us.

25. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct or has any complaint against you been filed with the Court of Judicial Discipline? If so, give the particulars: **No.**
26. What is the present state of your health? (If any medical condition or issue exists, you may be requested to execute a medical record authorization form as part of the interview process.). **Excellent.**
27. Do any circumstances exist that would affect your ability to perform the function of the position you seek or fulfill the term of office? If so, please explain: **No.**
28. Furnish at least five examples of legal articles, law review articles, briefs, or other legal writings which reflect your personal work. If briefs are submitted, indicate the degree to which they represent your personal work:

In Re: D.S. and M.S., D#6122-07-08, 6123-07-08; affirmed, 2009 PA Super 144, 979 A.2d 901

In Re: T.R., a minor, Appeal of Troy Ford, Father, ; CP-51-AP-0000201-2011 (2011); affirmed, No. 1781 EDA 2011 (2012), Memorandum Opinion

Commonwealth of Pennsylvania v. Karlton Johnson, CP-51-CR-011564-2012; affirmed, No. 3148 EDA 2013 (2014), Memorandum Opinion

Commonwealth of Pennsylvania v. Marlaina Thomas, CP-51-CR-8066-2011 (2014); affirmed, No. 3546 EDA 2013 (2014), Memorandum Opinion

Scenic Philadelphia et al v. Philadelphia Zoning Board of Adjustment, May Term, 2013, No. 0034 (2014)

Pennsylvania Corporate Taxes, Tax Management Multistate Tax Portfolios, (1999)- I wrote the chapter on the "Federal Limitations on State Taxation" and portions of the chapters on "Domestic and Foreign Entities Subject to Capital Stock Tax or Franchise Tax" and "Corporate Net Income Tax."

29. List all bar associations and professional societies of which you are a member and give the titles and dates of any offices which you have held in such groups. List chairmanships of any committees in bar associations and professional societies and memberships on any committees which you believe to be of particular significance (e.g., judicial selection committee, disciplinary committee, grievance committee).

First Judicial District Pro Bono Publico Awards Committee, Chair (2010-2012, 2014-present)

**First Judicial District Education Committee
First Judicial District Civil Rules Committee
First Judicial District Bylaws Committee**

**Children and Psychotropic Medication, Office of Children and Youth, Co-Chair (2010-2011)
Pennsylvania's Children's Roundtable (2010-2012)]**

**University of Pennsylvania Law School Inn of Court (2007-present)
Cipriani Family Law Inn of Court (2010-2012)
Criminal Law Inn of Court (2011-2013)**

**Philadelphia Bar Association, State and Local Tax Committee
Philadelphia Bar Association, Real Estate Committee
Pennsylvania Bar Association**

**Editorial Board, Journal of College and University Law
National Association of College and University Attorneys**

**Hearing Officer, Disciplinary Board of the Supreme Court of Pennsylvania
Fellow, Academy of Trial Advocacy of Temple University School of Law**

Judicial Council, Strategic Planning Committee

30. List your experiences in teaching and lecturing, including law school, continuing legal education, both for the legal community and the judiciary, and to non-legal audiences.

I taught numerous courses in state and local taxes at the Pennsylvania Bar Institute. I also given the following lectures:

"Advanced Trial Tactics," National Business Institute, 2014

"How to Try a Dependency Case," Philadelphia Bar Institute, 2010, 2011

"How Dependency Court Works," Philadelphia Children's Alliance, August, 2010

"Election of Trial Court Judges in Philadelphia in 2007," Philadelphia Bar Association Chancellor's Forum

"Outsourcing Computer Services" at the Conference for National Association of College and University Attorney, 2005

Drexel University Leadership Program, 2000-2006

31. List all organizations other than bar associations, professional associations or societies of which you are or have been a member, including civic, charitable, religious, educational, social and fraternal organizations:

Board Member, Volunteer for Indigent Persons, Board Member, 2008-present
Board Member, Carson Valley Children's Aid, 2012-present
Board Member, Beck Institute for Cognitive Behavior Therapy, 1997-present

Board Member, Opera Company of Philadelphia (1998-2007)
Board Member, Secretary, Congregation Or Ami (2006-2008)

Forum of Executive Women (2009-2011)
Germantown Cricket Club (2006-present)

32. List any honors, prizes, awards or other forms of recognition which you have received (including any indication of academic distinction in college or law school) other than those mentioned in answers to the foregoing questions.
33. State any other information which may reflect positively or adversely on you or which you believe should be disclosed in connection with consideration of you for an election/retention to an Appellate Court of Pennsylvania.
34. Why do you wish to become a Justice or Judge? What special strengths do you feel you possess that would particularly well qualify you for the position of Justice or Judge?

I will bring to Superior Court integrity, experience, intelligence and a practical understanding of the impact that judicial decisions have on parties and their communities.

As a trial court judge for over seven years, I have presided over hundreds of jury and bench trials and have not only a deep understanding of the Rules of Evidence and substantive issues, but also a nuanced understanding of trials. This will help me better understand the words written on the trial transcript and in the trial court's opinions.

I have issued over 140 Opinions and Superior Court has only reversed my decisions three times. This demonstrates my ability to apply the law correctly and articulate clearly and fully the legal principles on which I base my decisions.

I also have the substantive knowledge of many legal issues that Superior Court addresses. I have a strong base of knowledge in the areas of the Rules of Evidence, Dependency Law, Criminal Law and Procedure and Civil Law and Procedure.

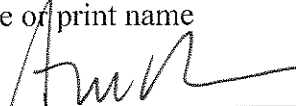
I would also bring to Superior Court the highest level of integrity. I understand that in order for the public to accept decisions of judges and legitimize the judicial system, the judicial system must appear fair and judges must act fairly and honestly both in the courthouse and in their personal lives.

Finally, I bring a natural intellectual curiosity about the law to Superior Court. I enjoy delving into complex and novel legal issues. As a trial judge, I am bound to follow the interpretation of the law that the appellate courts have assigned to the law. I would be honored to have the opportunity to interpret the law and given my intellectual curiosity, hard work and practical understanding of the impact the law has on parties and communities, I would do it well.

35. Did you sign the PBA Judicial Campaign Advertising Guidelines? (Please return signed pledge with completed questionnaire.). **Yes.**

CERTIFICATION STATEMENT

The undersigned certifies that all of the statements made in this questionnaire are true, complete and correct to the best of his/her knowledge and belief and are made in good faith.

ANCE BECK MBW
Type or print name

Signature

12-16-14
Date